

Biomechanical Role of Bone Anisotropy Estimated on Clinical CT Scans by Image Registration

ELHAM TAGHIZADEH,¹ MAURICIO REYES,¹ PHILIPPE ZYSSET,¹ ADELIYA LATYPOVA,² ALEXANDRE TERRIER,²
and PHILIPPE BÜCHLER¹

¹Institute for Surgical Technology & Biomechanics, University of Bern, Stauffacherstrasse 78, 3014 Bern, Switzerland; and
²Laboratory of Biomechanical Orthopedics, Ecole Polytechnique Fédérale de Lausanne, Lausanne, Switzerland

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Abstract—Image-based modeling is a popular approach to perform patient-specific biomechanical simulations. Accurate modeling is critical for orthopedic application to evaluate implant design and surgical planning. It has been shown that bone strength can be estimated from the bone mineral density (BMD) and trabecular bone architecture. However, these findings cannot be directly and fully transferred to patient-specific modeling since only BMD can be derived from clinical CT. Therefore, the objective of this study was to propose a method to predict the trabecular bone structure using a μ CT atlas and an image registration technique. The approach has been evaluated on femurs and patellae under physiological loading. The displacement and ultimate force for femurs loaded in stance position were predicted with an error of 2.5% and 3.7%, respectively, while predictions obtained with an isotropic material resulted in errors of 7.3% and 6.9%. Similar results were obtained for the patella, where the strain predicted using the registration approach resulted in an improved mean squared error compared to the isotropic model. We conclude that the registration of anisotropic information from of a single template bone enables more accurate patient-specific simulations from clinical image datasets than isotropic model.

Keywords—Trabecular bone, Femur, Patella, Anisotropy, Finite element analysis (FEA).

INTRODUCTION

Finite element models are important to estimate bone strength, to predict fracture risk, and to improve orthopedic devices. Several studies have proposed simulation tools to plan or to predict the outcome of orthopedic surgeries.^{4,5,19,31} Mechanical models of the

bone rely on bone mineral density (BMD) obtained on CT scan images. However, it has been shown that BMD alone is not enough to accurately predict trabecular bone strength and including trabecular anisotropy to the FE simulations improves the accuracy of bone simulations over isotropic models.^{25,27} Maquer and colleagues calculated morphology and elastic constants of more than 700 cubic samples of trabecular bone using μ FE simulations and showed that bone volume fraction (BV/TV) and anisotropy determine the elastic properties with $R^2 = 0.968$.²² The trabecular bone structure is adequately characterized in high-resolution μ CT images,^{2,3} which require a high dose of radiation and limit their use to cadaveric specimens or biopsies from patients. In addition, the trabecular bone structure can be estimated *in vivo* in the peripheral skeleton, such as the distal radius or tibia with high-resolution peripheral quantitative tomography (HR-pQCT).²³ Alternative techniques are required to include anisotropic information to predict femoral and vertebral fracture risks, which are related to osteoporosis or to evaluate the outcomes of the frequent hip and knee replacements.

Different methods have been proposed to extract bone architecture from clinical level CT images. Gradient structure tensor (GST)³⁰ or Sobel structure tensor¹⁴ were used to calculate the orientation and the degree of anisotropy (DA) of trabecular bone.^{6,14,17,34} Larsson *et al.*¹⁷ studied the correlation between GST at the clinical level and bone anisotropy calculated from μ CT scans. They showed that the GST method calculates the bone anisotropy accurately when the degree of transverse isotropy (DTI) is larger than a specific threshold. However, the optimum value of this threshold is large and the anisotropy can only be

Address correspondence to Philippe Büchler, Institute for Surgical Technology & Biomechanics, University of Bern, Stauffacherstrasse 78, 3014 Bern, Switzerland. Electronic mail: philippe.buechler@istb.unibe.ch

quantified on the few bone regions having a strong bone anisotropy. These methods were also developed using imaging data that were not acquired clinically on patients, and since their accuracy depends on the image resolution, changes to the image acquisition protocol can affect the results.

Instead of trying to extract bone anisotropy directly from the clinical images, alternative approaches have been proposed to obtain it from descriptors of bone surface¹⁶ or from X-ray attenuation coefficients.¹⁰ Such an approach has been used to determine bone anisotropy of the human mandibular, but accuracy of the predicted anisotropy was not reported. Other authors suggested using iterative FE analyses or micromechanics to predict the anisotropic information from the principal directions of the calculated stress tensor.^{9,27,33} Another set of studies suggests to predict this information from a database of cadaveric samples.^{8,20} Hazrati *et al.*⁸ chose the closest BV/TV distribution to the patient's bone in a bone database and morphed the anisotropic information from this cadaveric reference to the patient's bone. A regression method was proposed by Lekadir *et al.*²⁰ where the structural information of trabecular bone is predicted through features derived from bone volume fraction and local shape deformations. Although both methods produce good estimates of bone anisotropy, a large database of high-resolution scans is needed to cover the variability of shape and intensities observed in the population. In addition, both methods rely on the BV/TV extracted from HRpQCT images to estimate bone architecture, which is not applicable *in vivo*.

Therefore, the objective of the present study was to estimate bone anisotropy based on a patient CT scan and the high-resolution scan of a single template of bone anisotropy. Our hypothesis was that the gross anisotropic information relevant to determine bone strength could be mapped from one template reference to patients' data using image registration, which alleviates the need of a large bone database. In this work, the accuracy of finite element simulations based on a template bone anisotropy was evaluated in situations involving different anatomical locations and CT image quality.

MATERIALS AND METHODS

The anisotropic information of the trabecular bone was estimated based on one high-resolution image, μ CT or HRpQCT, which was used as the template bone. The image of this template bone was registered to the patient's clinical CT image. After this step, the registered image had the same shape as the patient's bone, while the structure was transformed from the

high-resolution template. Finally, the anisotropic information was extracted from the registered image. The accuracy of the method was evaluated by comparing its mechanical outcomes with calculations obtained directly from high-resolution scan of the target bone. Tests were conducted on cubic trabecular samples cropped from the center of femoral heads and on the proximal femur and on patellae.

DATASETS

Femur Database

A database of 11 left proximal femurs was obtained from Luisier *et al.*²¹ The proximal femurs were collected from six male and five female donors. The donors' ages were in the range of [63, 93] years with the average age of 78.7 ± 10.8 years. From each bone one HRpQCT (Xtreme-CT, Scanco, Switzerland) image with the spatial resolution of $0.082 \times 0.082 \times 0.082$ mm³ and QCT (Brilliance64, Philips, Germany) image with spatial resolution of $0.32 \times 0.32 \times 1$ mm³ were acquired. The high-resolution images were scanned with an intensity of 900 μ A and voltage of 60 kV. The BMD was calculated based on the intensity (HU) values obtained using the manufacturer's calibration function and provided in the image header.²¹ The QCT scans were performed with an intensity of 100 mA and a voltage of 120 kV. A calibration phantom (BDC phantom, QMR GmbH, Germany) was also scanned with the bones to convert the image intensity to BMD values.

The database covers large bone shape variability. The head diameter (d) and neck angle (θ) were measured to describe the femurs shape; $d \in [40.02, 51.33]$ mm with the average and standard deviation of 45.6 ± 3.9 mm and $\theta \in [114.10, 135.70]$ mm with the average and standard deviation of $126.8^\circ \pm 6.0^\circ$. One bone without any pathology was arbitrarily chosen from the database as template. The resolution of the QCT was decreased to represent a standard clinical CT acquisition; the QCT scans were resampled from $0.32 \times 0.32 \times 1.0$ to $1.25 \times 1.25 \times 1.0$ mm³.

Patella Database

The patella database contains 11 patellae from five female and six male donors with age in the range of [54, 93] years. Average and standard deviation were 71.0 ± 11.6 years. Each patella was wrapped in saline soaked gauze and QCT images with the clinical resolution of $0.39 \times 0.39 \times 0.625$ mm³ were acquired with a calibration phantom (BDC phantom, QMR GmbH, Germany). For each bone, a μ CT scan was acquired

(Skyscan 1076, Bruker μ CT, Kontich, Belgium) with a spatial resolution of $18.3 \mu\text{m}$. The scanning settings were 80 kV/120 mA, 540 ms exposure time, 1 mm aluminum filter, and 0.2° rotation step. For memory management, the μ CT scans were down-sampled from $0.018 \times 0.018 \times 0.018 \text{ mm}^3$ to $0.037 \times 0.037 \times 0.037 \text{ mm}^3$ spatial resolutions. One *healthy* bone was chosen as template for image registration.

In both datasets the high-resolution scans (HRpQCT for proximal femurs and μ CT scans from patellae) of the test bones were used as ground-truth to validate the results.

Image Registration

The aim of the image registration step is to translate, scale and deform the template image such that it overlaps the target image from the patient. The non-rigid registration is used to find the corresponding points between two samples. For non-rigid registration, image intensity information is used to derive the metric for evaluating the quality of the registration at each iteration. This intensity information includes edges, or gradient information, as well as density information present in the entire volume. For image registration we used normalized correlation as metric, which considers a statistical relation between image intensities.¹⁵

Once image registration is performed, the corresponding voxels in both images occupy the same spatial volume. In our problem, the template and target bone images are from different patients and have been

captured using different devices (QCT/CT and HRpQCT/ μ CT scanner). Subsequent rigid, affine and non-rigid registrations have been applied to the patients' images (Fig. 1).

Image Registration for the Proximal Femur

Due to the size of the HRpQCT images, non-rigid image registering is computationally demanding (or even infeasible). Therefore an intermediate step has been added to the registration procedure. Instead of HRpQCT, the lower resolution QCT image of the template bone was registered to the patient's calibrated CT scan. The non-rigid transformation, calculated from the template QCT registration is then applied to the template HRpQCT image. Since both QCT and HRpQCT images were acquired from the same bone, this transformation results in the same bone shape, but with higher resolution.

Rigid and affine registrations were applied to the template image to initialize the non-rigid registration. Four landmarks positioned on the images were used to calculate the rigid alignment of the images.¹² The landmarks were positioned on the femur head fovea, the most upper part of the greater trochanter, the lesser trochanter and in the center of the shaft below the lesser trochanter. The New-Block Matching method²⁴ was used for the affine registration. For non-rigid registration, the B-spline image registration²⁶ implemented in Elastix¹⁵ was used. This method uses grid-based calculations to achieve computational efficiency.

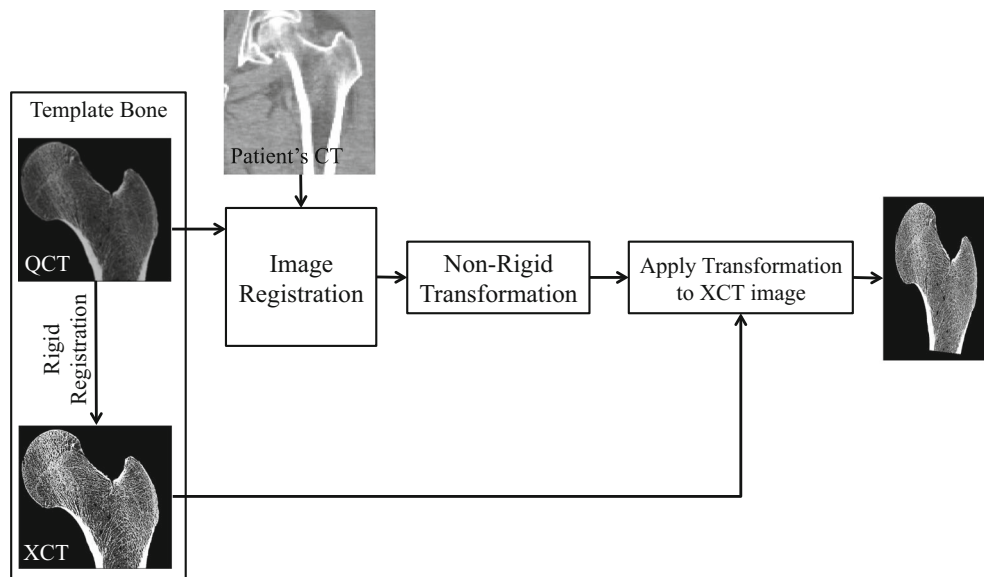


FIGURE 1. Schematic representation of the image registration pipeline. One healthy bone is selected as template. The HRpQCT and QCT scans of the template bone are acquired. First, the QCT scan is registered to the patient's low-resolution CT scan, then obtained deformation fields are applied to the HRpQCT image. The resulting image is then used to estimate bone anisotropic for this patient.

After non-rigid registration of the QCT template image to the patient's CT image, the deformation fields from affine and B-spline transformations were applied to the HRpQCT images. This process results in an artificial high-resolution HRpQCT morphed into the shape of the patient's femur.

Image Registration for the Complete Patella

The registration of the patella followed a similar pipeline as described for the femur. The only difference is that only μ CT and patient's clinical CT scans were available for the patella dataset. For computational efficiency, the μ CT scans were down-sampled from 0.037 to 0.074 mm isotropic spacing. The cortical and trabecular bones were manually segmented and the image registration was only performed on the trabecular bone. Similar to the femur registration, after rigid and affine registration for initial alignment, the B-spline based non-rigid registration was used to register the down-sampled template μ CT scan of the trabecular bone to the CT scan of the patient. In the final step, the transformation was applied to the template μ CT scan.

To quantify the error on predicting the trabecular bone structure, we calculated the Frobenius norm of the error tensor as follows:

$$\text{Error}_{\text{Tensor}} = \frac{\|\mathbf{M} - \mathbf{M}'\|}{\|\mathbf{M}\|} \quad (1)$$

where \mathbf{M} is the ground-truth fabric tensor and \mathbf{M}' corresponds to the predicted bone fabric tensor.

Mechanical Simulations

Finite element simulations were used to evaluate the effect of the anisotropic predictions on bone mechanics. Several test cases were considered and for each one, the mechanical predictions were compared to the mechanical behavior calculated using the homogenized models created from the original high-resolution scan of the patient's bone (*ground-truth*).

Cube Study

The accuracy of the predicted anisotropy was evaluated on cubic samples under various loading conditions. Cubic samples having an edge length of 260 voxels (21.3 mm) were selected from the center of each femoral head. This size corresponds to the largest cube that could fit in the smallest femoral head while leaving some margins to the head border. The cubes were cut in the same position in both the original HRpQCT and on the template HRpQCT registered to the patient's bone. A mesh with 512 three-dimensional hexahedral

elements (C3D8R) was used for the numerical model. The material properties of each bone were obtained from its corresponding image, and assigned to each finite element. The bone anisotropy was obtained using a 2.5 mm grid overlapped on the image. The material anisotropic tensor was calculated with the GST method³⁰ on 5.3 mm spheres centered on each grid node. For each element, the fabric tensor was calculated by interpolating the fabric tensors from the neighbor grid nodes to the center of the element. Zysset's elastic material model³⁵ (Eq. (2)) was used to define the material properties of each element.

$$\begin{aligned} \varepsilon_i &= \varepsilon_0 \rho^\kappa m_i^{2l}, \\ v_{ij} &= v_0 \frac{m_j^l}{m_i^l}, \\ \mu_{ij} &= \mu_0 \rho^\kappa m_i^l m_j^l \end{aligned} \quad (2)$$

where, ε_i , v_{ij} and μ_{ij} are the orthotropic engineering constants, $\varepsilon_0 = 16.56$ GPa, $v_0 = 0.18$, $\mu_0 = 5.59$ GPa, $l = 1.72$ and $\kappa = 1.84$ are the model parameters, m_i are the eigenvalues of the bone's fabric tensor and ρ is the bone volume fraction (BV/TV). The predicted anisotropy described as the fabric tensor was quantified on the registered image and the BV/TV value was extracted from the patient's bone scan. After assigning material properties, each cube was loaded in six canonical modes (three orthogonal compression and three orthogonal shear) with 5% strain. The von Mises stress value was then calculated for each element to compare the accuracy of different methods (Fig. 2).

Proximal Femur Study

The effect of the predicted bone fabric was also evaluated on the overall behavior of the proximal femur loaded in stance position. In this part, the finite element model proposed and validated by Luisier *et al.*²¹ was used. Prediction of the mechanical simulations output for the failure of the full bone, using the trabecular bone anisotropy estimated with our method, was analyzed and compared with the mechanical behavior obtained with the bone architecture extracted from the actual HRpQCT images and with an isotropic model (Fig. 3).

Each bone was meshed with the automatic bone meshing method introduced by Pahr and Zysset.²⁵ First, a very coarse and smooth surface mesh was created. Then this surface mesh and cortex labels were used as input to the bone mesher. The surface mesh was extruded in the direction normal to the surface on a distance corresponding to the cortical layer. Since the trabecular bone was meshed with tetrahedral elements,

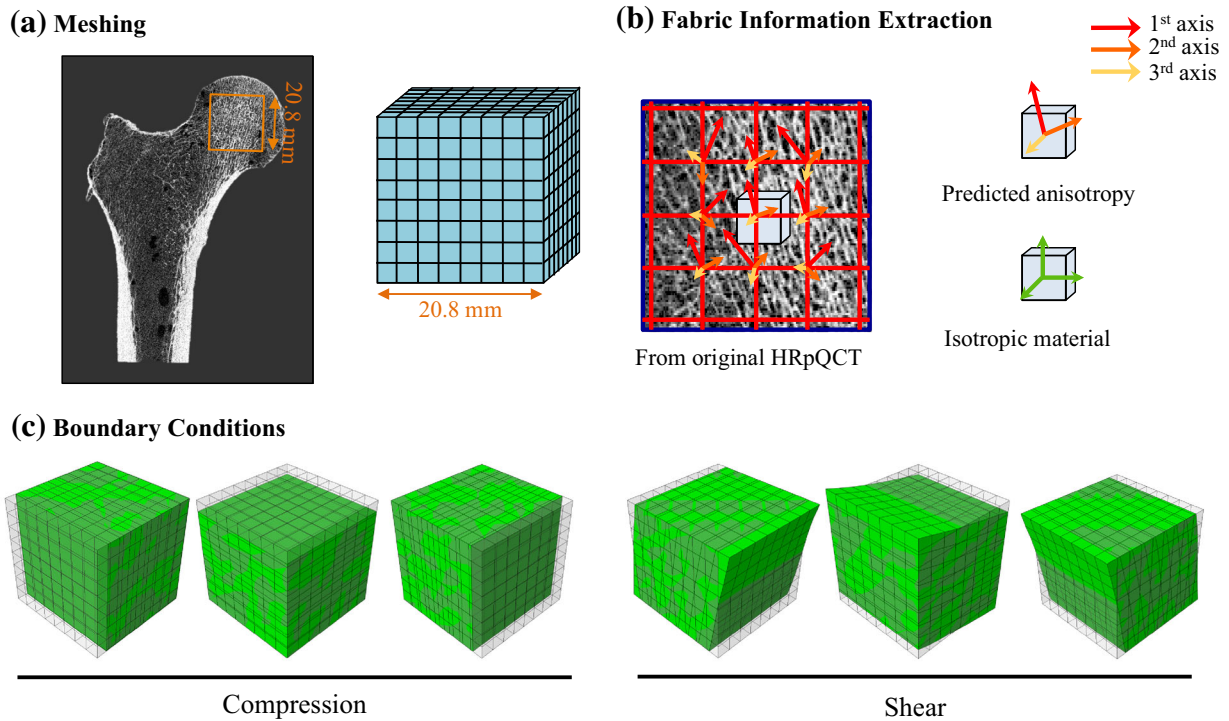


FIGURE 2. Pipeline describing the study on isolated bones of trabecular bone. Cubic samples were cropped in the center of the femoral head. The anisotropic information was extracted and assigned to each element of the cube mesh. Three compression and three shear loadings were applied.

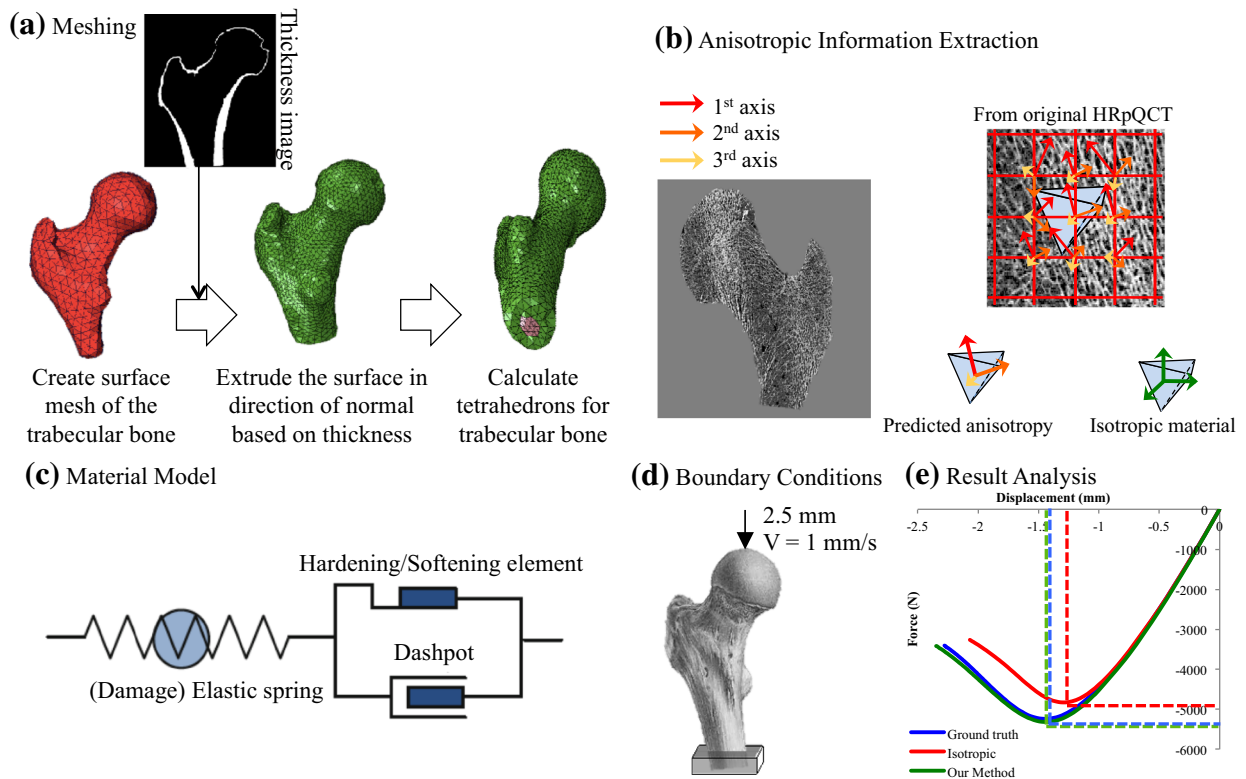


FIGURE 3. Description of the simulation performed on the femur. Each bone was meshed using an automatic procedure. A grid-based algorithm was then used to extract anisotropic information of the trabecular bone and assign the local bone fabric to each finite element of the mesh. The elastic-visco plastic material model, which relies on the bone anisotropy, was used to calculate material properties of the bone loaded in stance position.

TABLE 1. Mechanical parameters for the elastic-visco plastic model used in the finite element simulations.

		E_0 (GPa)	ν_0	G_0 (GPa)	k	l	σ_0 (MPa)	χ_0	τ_0 (MPa)	η	m	Yield ratio
Trabecular	Tension	12	0.249	3.913	1.878	1.076	81.6	-0.3	68.9	1.2	4.0	0.66
	Compression						111.6	0.31				
Cortical	Tension	12	0.34	4.47	1.0	1.0	72.0	-0.37	62.6			
	Compression						108.0	0.49				

extruding the triangular surface mesh results in a cortical mesh based on triangular prisms. The thickness of cortical layer was defined by the cortical bone segmentation.

The image intensity values must be calibrated to compute the BV/TV from the BMD (mg/cc) extracted from the HRpQCT image. The following calibration function was used to relate the normalized BMD ($nBMD$) to the corresponding BV/TV from HRpQCT.²¹ The $nBMD$ was calculated by dividing the BMD by its maximal value of 1400 mg/cc.

$$\begin{aligned} BV/TV_{\mu CT} \cong & -6.100 \times nBMD^4 + 5.573 \times nBMD^3 \\ & - 0.930 \times nBMD^2 + 1.420 \times nBMD \end{aligned} \quad (3)$$

The same approach used for grid interpolation in the cube study has been used to assign the bone fabric for each element of the mesh. The anisotropic information was extracted using the MIL method.⁷ The bone material model introduced by Schwiedrzik and Zysset²⁸ was used to compute the non-linear bone material properties based on the local anisotropic information and BV/TV values (Table 1).

The femurs were loaded in the stance position. The lower part of proximal femur was fixed to avoid bone shifting and a 2.5 mm displacement was applied on top of the head with a velocity of 1 mm/s. The reaction force as well as the bone stress and failure were computed and used to evaluate the accuracy of the anisotropic predictions.

Patella Study

Each patella was meshed with tetrahedral element having an average element size of about 2 mm. Meshing was performed using the CGAL mesh creator.³² A sensitivity analysis was done and showed that an element size of 2 mm is sufficient for convergence of the FE solution. Similar to the proximal femur study, the MIL method was used to calculate the material orientation for each element of the mesh.

In our study elements with high bone volume fraction ($BV/TV > 0.6$) were assumed to be cortical bone and were assigned isotropic material. For the rest of the elements, we used the predicted anisotropic

information obtained using the proposed image registration method. The anisotropic information was assigned to each element using the same method as described for the femur case. For the patella, the grid distance and sphere size were 2.5 and 5.0 mm, respectively. The same material model and mechanical parameters as for the femur study were used for the patella (Table 1).

The boundary condition corresponded to loading of the non-resurfaced patella in total knee replacement during squat at 60° knee flexion.¹⁸ The model included the patella with cartilage, femoral surface, four quadriceps muscles and patellar tendon. The cartilage was created by 3-mm extrusion of posterior articular surface of the bone. The muscles and tendon were modeled by four and two connector elements, respectively. The femur, muscle origins, and tibia tendon attachment were fixed, while the patella had all degrees of freedom and was constrained by the contact with the femoral surface. The muscle forces were applied on the corresponding connectors (Fig. 4). The quadriceps and tendon forces were then distributed along the patellar anterior surface through corresponding attachment points with cubic weighting method. Logarithmic maximum principal strain and von Mises stress were computed for both the predicted and true anisotropic model extracted from the original μCT scan from the patient and compared element-wise to validate the predictions.

RESULTS

Image Registration

The quality of the image registration was first estimated by comparing the micro-structure of the registered image with its corresponding high-resolution image (ground-truth). The predicted directions for the trabecular bone structure looked very similar to the target image (Fig. 5). When comparing the predicted images with the high-resolution ground-truth, the major difference concerns the bone volume fraction. This difference comes from the fact that the bone volume fraction of the selected reference dataset differs from the target images. However, this aspect does not

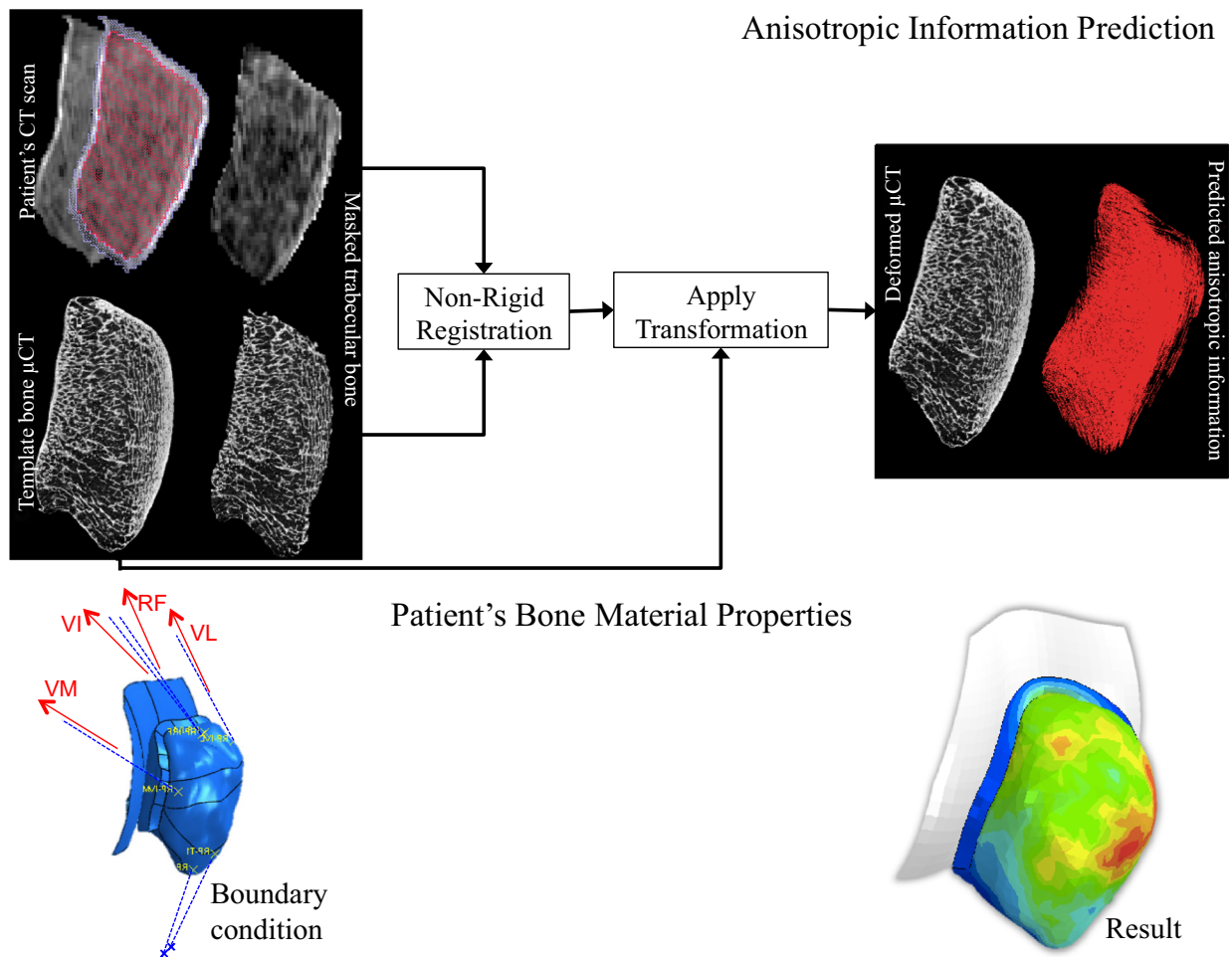


FIGURE 4. The simulations performed on the patella relied on the non-rigid registration of the template μ CT to the trabecular bone of the patient. Forces applied on the patellar tendon and quadriceps corresponded to a 60° position of a squat movement.

affect the predictions of the proposed technique as only the bone architecture is extracted from the template, while the bone density is extracted from the patients' images.

To provide a quantitative estimation of the error introduced by the registration procedure, the orientation and fabric tensor were computed for our method and compared against the ground-truth. Our method predicted the fiber directions for 10 femoral trabecular bones with the error of $29.7^\circ \pm 3.3^\circ$ and norm of the tensor with $14.1\% \pm 4.0\%$ error in average. For the 10 patellar bones the principal direction of the trabecular bone was predicted with an average error of $24.4^\circ \pm 3.0^\circ$. The fabric tensors were predicted with the error equal to $14.8\% \pm 1.5\%$. In addition, the average error on the predicted bone directions was lower for elements having high DA values. This means that the regions of strong bone anisotropy are predicted more accurately than the trabecular regions exhibiting bone architecture close to an isotropic distribution.

MECHANICAL SIMULATION

Cube Study

Six mechanical loadings were applied to the cubes extracted from the center of the femoral head for (1) material properties extracted from the original HRpQCT image, (2) material properties predicted using our method and (3) with the material assumed isotropic. Our method predicted the von Mises stress value in average with 9% error, where the average error with the isotropic material was 14% (Table 2). In addition, the error using the isotropic material increased to 19.7% when the loading direction was close to the direction of the trabecular bone, while in most of the cases the accuracy of our method was not affected by the direction of loading (Table 2). Overall the proposed method improved the accuracy of Mises stress prediction compared to isotropic material by about 10% when the loading is in the direction of the fibers. The pairwise t test showed a significant differ-

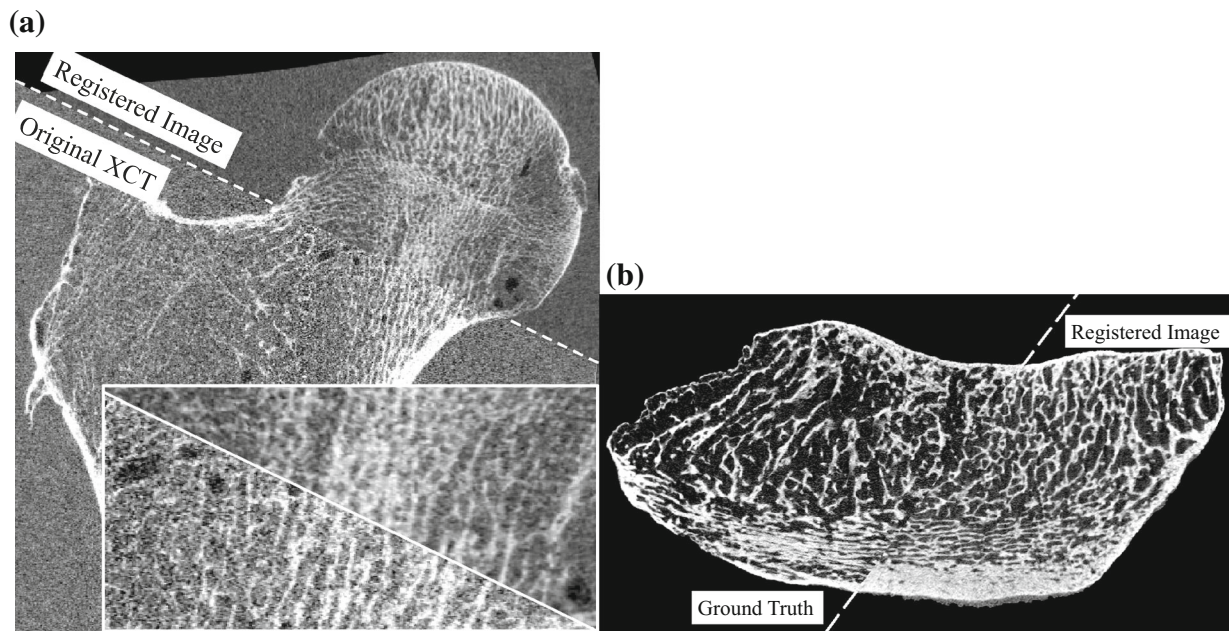


FIGURE 5. Typical results of high-resolution images registered to the shape of the patient's bone for the femur (a) and patella (b). The result of image registration is overlapped with the ground-truth high-resolution scans. As it can be seen around the intersecting line, the fiber directions of the registered image follow the directions of the bone trabeculae of the ground-truth image.

TABLE 2. Mechanical results of trabecular cubes: The accuracy has been calculated by comparing the results of the different methods to simulation performed with the actual bone anisotropy.

Femur no.	Average accuracy for all loading conditions (%)		Accuracy for loadings in the main trabecular direction only (%)	
	Template registration	Isotropic	Template registration	Isotropic
1.	10.0	13.3	8.6	19.3
2.	10.7	15.7	9.9	20.1
3.	8.1	13.0	7.1	18.0
4.	10.3	14.1	10.7	21.5
5.	7.5	13.7	7.4	17.1
6.	7.6	13.7	6.7	18.3
7.	9.1	13.4	7.0	18.9
8.	11.7	13.7	16.7	18.1
9.	7.9	14.1	8.0	18.3
10.	8.7	13.4	7.8	17.0
Median	8.9	13.7	7.9	18.3
Average	9.2	13.8	9.0	18.7
STD	1.4	0.7	3.0	1.4
<i>p</i> value (<i>t</i> test)	2.0E-6		3.2E-6	

The accuracy of our method on predicting von Mises stress value was compared to the assumption of isotropic material. Simulation results showed that the accuracy of isotropic material drops when the loading is aligned with the main trabecular direction, while the results obtained using the template registration were not affected by the loading direction.

ence between the accuracy of the proposed method and the isotropic material assumption ($p < 0.001$).

Proximal Femur

To better analyze the accuracy of the method on the overall mechanical predictions in a realistic loading

scenario, the error on force and displacement at the point of failure (the maximum force in the force–displacement curve) was calculated for human femur loaded in a stance configuration. Including the predicted anisotropy improved the failure prediction compared to the isotropic material (Fig. 6). In average, the proposed method predicted the displacement at

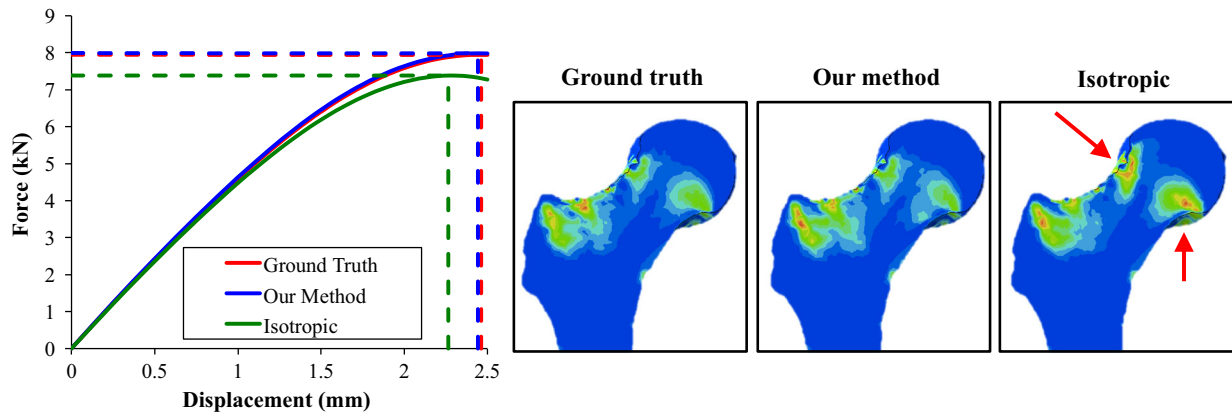


FIGURE 6. Force-displacement curve (left) and contour plot of the failure criterion (right) obtained on one femur. The template registration was better at simulating the mechanical effect than the isotropic model, which underestimated the failure load.

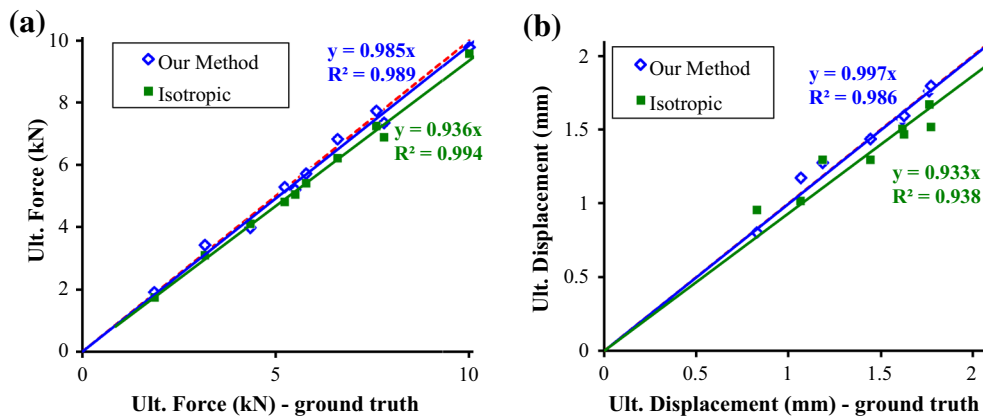


FIGURE 7. For each femur, the ultimate force and displacement values were calculated and compared to the *ground-truth* calculations. Values obtained by using the template registration showed a high correspondence to the ultimate force calculated directly from the high-resolution images with a slope of 0.99. The isotropic model consistently underestimated the ultimate load (slope of 0.94) and ultimate displacement (slope of 0.93).

failure with an error of 2.5%, while an isotropic model predicted the displacement with an average error of 7.3%. The proposed method also improved the failure force from 6.9%, obtained with an isotropic model, to 3.7%. The correlation of the predicted ultimate force and the *ground-truth* was also improved using the template registration compared to the isotropic material. The correlation with the *ground-truth* was 0.99 for both isotropic and our method. However, while the slope of the correlation was 0.99 for the template registration, the ultimate force was consistently underestimated by the isotropic material model (Fig. 7). The pairwise *t* test showed a significant difference between the ultimate forces calculated with the isotropic material and our method (p value = 0.001). There was also a significant difference between the maximal force calculated with the isotropic model and the *ground-truth* (p value = 0.001), while no significant difference could be shown between our method and the *ground-truth* (p value = 0.249).

Patella

The maximal principal logarithmic strain was used to evaluate the accuracy of our method in predicting anisotropic information. The strain values for a typical bone are shown in Fig. 8. Results showed that the template registration method has a higher correlation with the *ground-truth* in comparison with using isotropic material. Our method also improved the slope of the linear regression to 1.02 compared to isotropic model with the slope of 1.13.

On average isotropic material properties predicted the principle component of logarithmic strain values at each node for 10 test patellae with an error of $13.2\% \pm 6.0\%$ and normalized mean squared error (NMSE = $(x - y)^2 / \sigma_x^2$, where x is the *ground-truth*, y the predicted value and σ_x^2 represents the variance of the *ground-truth*) of 21%. The proposed registration method improved the prediction of bone strain to $9.7\% \pm 2.2\%$ error with NMSE of 10%. The error on

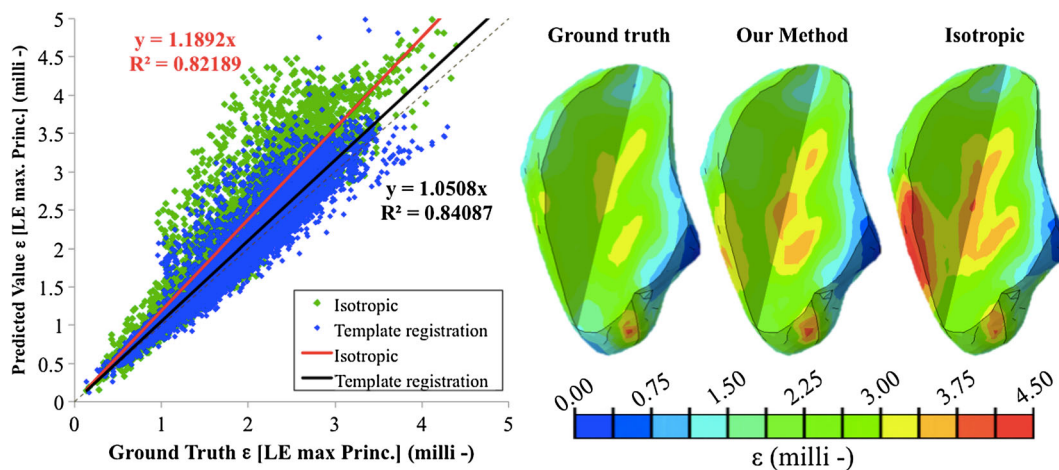


FIGURE 8. Principal logarithmic strain in the patella obtained with the different models. The correlation between the *ground-truth*, the template registration and isotropic model is shown on the left, while the contour plot on the right shows the strain distribution in the patella models. Results showed that the isotropic model overestimates the bone strain, while the template registration improved the strain predictions. ANCOVA test showed that the slope difference for isotropic material results and the results of our method are statically significant with p value smaller than 0.001.

the von Mises stress predictions were $8.6\% \pm 2.7\%$ for the isotropic material and $6.7\% \pm 1.3\%$ for the template registration. Pairwise t test showed a significant difference between accuracy of our method and isotropic material properties (p value = 0.045)

DISCUSSION

In this study, we proposed to use image registration for predicting anisotropic information of a patient's CT scan. This method predicted the trabecular bone's main direction with an average error of 27° and the tensors were predicted with 14% error. Although the error on the prediction of the trabecular orientation appears to be important, the values obtained with a single template were in the same range as values reported in the studies of Hazrati and colleagues,^{8,20} who relied on a large bone database.

We observed that the error on predicted anisotropy is higher in regions of the bone with very low BMD. However, the high prediction error in these regions does not influence the overall biomechanical behavior of the bone. To analyze how the error made when predicting the bone orientation influences biomechanical behavior of the bone, three different mechanical simulation setups were evaluated (1) a cube study, to test the anisotropic information prediction influence on cubic samples of trabecular bone (2) proximal femur, to test the method for predicting the overall behavior of the femur bone loaded in stance position and (3) for patella, to study the accuracy of the method in a bone with a complex distribution of trabecular

orientations. In all three cases, the results obtained with the registration approach were compared with models considering isotropic material properties. For all the situations, the mechanical parameters calculated with the predicted bone orientation outperformed the mechanical results based on an isotropic material assumption.

Other methods have been proposed to estimate bone anisotropy from clinical CT. Hazrati *et al.*⁸ used mesh registration for finding the correspondences between different proximal femurs and then assign the anisotropic information of the bone in the dataset having the most similar bone density. Lekadir *et al.*²⁰ used the same technique, but instead of taking the anisotropic information from a reference bone, they used a regression method to predict the anisotropic information tensor based on BV/TV and local deformations. In both studies high-resolution μ CT scans were employed to obtain this information, which is not applicable in a clinical situation. In addition, the mesh registration method used in these studies works well for long bones, but might fail to provide valid registration for complex anatomical sites such as vertebrae or mandibles. On the contrary, image registration can be used for complex anatomical shapes.^{13,15,29} Furthermore, Bonaretti *et al.*¹ showed that image registration establishes a better correspondence between different samples compared to mesh registration, which uses only the surface shape of the bone and discards the information inside the bone. As a result, the correspondence established by the models on the element located inside the bone, where the trabecular bone architecture is important, is sub-optimal.¹

An alternative approach is to extract the bone architecture directly from the clinical CT. Kersh *et al.*¹⁴ suggested using GST method to extract anisotropic information from patient's CT scan. Larsson *et al.*¹⁷ studied different cube sizes for extracting anisotropic information from CT scan and showed that this information is close to the μ CT based MIL method, when the DTI value is larger than a specific threshold. However, this threshold is large and only covers few regions of interest. For instance, when bone anisotropy was calculated based on 5 mm spheres, only 1% of the bone has DTI values greater than the threshold and when the volume to calculate the bone anisotropy was increased to 15 mm, 27% of the bone has a DTI larger than the threshold. However, a radius of 15 mm represents a large homogenization, which will certainly affect the mechanical simulations. In their study¹⁷ the mechanical advantage of assigning anisotropic information to only a few regions of the bone (1% of the whole bone) was not studied nor compared with results obtained with an isotropic material model.

In the cube study, the anisotropic information was found to be important when the load was applied in the direction of the trabecular bone structure. This result agrees with the findings of Luisier *et al.*²¹ who found that orthotropic HRpQCT-based FE model improves the isotropic QCT-based results in stance position, which is not the case for side-fall. We found that an isotropic material properties assumption provides FE results close to the *ground-truth*, especially in the femur, where the thick cortex was modeled with an isotropic material model. However, even in this situation, the template registration clearly improves the estimation of the ultimate failure load as well as the stresses calculated inside the trabecular structures. When analyzing cubes made of trabecular bone, the template registration improved the isotropic material results by 10%. Similar results were also seen in Hazrati *et al.*⁸, where the "cortical" femoral bone shaft was excluded from the simulations.

In this study, we examined the accuracy of our method on predicting the biomechanical behavior of the bone with the assumption of having very accurate bone volume fraction from patient's CT scans. Note that this information is used only for mechanical simulations and not to predict the anisotropic structure. However, to ensure accurate extraction of the bone volume fraction from low-resolution clinical scans, further investigation is required. This aspect is critical since it has been shown that bone volume fraction is the most important determinant of the bone elastic properties.²²

The template bone has been chosen based on the visual inspection of the available datasets in order to select an average healthy bone. To assess the effect of

the template selection, the accuracy of the mechanical simulations has been evaluated with respect to the difference between the chosen template and the patient's bone. This evaluation showed that the error of the mechanical prediction remains constant, even when the anatomical difference between the target femur and the template increases (both in term of shape and bone density). This observation indicates that the selection of the bone template does not significantly affect the mechanical prediction regardless of its difference in shape and bone volume fraction. Together with the overall quality of the mechanical simulation, this indicates that the main trabecular orientations remain consistent across different patients. However, this approach remains limited to situations where the bone anisotropy is not affected by specific conditions or diseases. In these cases, alternative template bones corresponding to the specific configuration should be employed.

In the biomechanical simulations we did not consider the anisotropy of the solid portion of the bone¹¹ and focused on the anisotropy originating from the micro-structure of the trabecular bone. The orientation and DA of the bone matrix cannot be retrieved from HR-pQCT images but may introduce further differences in the computed biomechanical response. A similar approach could be applied to cortical anisotropy as for trabecular anisotropy, but would require even higher resolution data that are currently not available. In first approximation, we believe that the contribution of the anisotropy of the cortical and trabecular compartments can be investigated independently.

The accuracy of the proposed method has been evaluated using homogenized FE models. Although this approach represents an approximation of the bone mechanics that requires experimental validation, it does not affect the outcomes of the present numerical technique to determine bone anisotropy.

The proposed method to use image registration to map the bone anisotropy from a template image to the patient clinical dataset improved the mechanical predictions using homogenized FE models. This approach can be used to improve patient-specific bone mechanical simulations based on clinical datasets. As part of future work, the anisotropic information could be extracted from the original template bone and then the fabric tensor could be transformed using the deformation fields calculated from image registration to accelerate the anisotropic information estimation. In addition, to improve the prediction results, the template anisotropy could be calculated as a mean fabric from a large number of bones to further alleviate the possible selection bias produced by the template selection.

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